

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No.	2471.1001-002
	First Named Inventor or Application Identifier	Mark T. Maybury
	Express Mail Label No.	EV 214935675 US

17497 U.S. PTO  
10/689206



Title of Invention	Automated Segmentation and Information Extraction Of Broadcast News Via Finite State Presentation Model
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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Specification <span style="float: right;"><b>Total Pages [ 53 ]</b></span> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure  3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;"><b>Total Sheets [ 22 ]</b></span> <input checked="" type="checkbox"/> Fig. of the Drawings for Publication <span style="float: right;">[ 11 ]</span> <input type="checkbox"/> No Figure to be Published  4. <input checked="" type="checkbox"/> Oath or Declaration <span style="float: right;"><b>Total Pages [ 3 ]</b></span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) [    ] Pages c. <input type="checkbox"/> Statements verifying identity of above copies  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">ACCOMPANYING APPLICATION PARTS</th></tr> <tr> <td>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)  <input checked="" type="checkbox"/> Assignee - The Mitre Corporation  <span style="padding-left: 100px;">McLean, Virginia</span></td><td></td></tr> <tr> <td>8. <input type="checkbox"/> Power of Attorney <span style="float: right;">[    ] 37 C.F.R. 3.73(b) Statement</span></td><td></td></tr> <tr> <td>9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></td><td></td></tr> <tr> <td>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <span style="float: right;">[    ] Copies of IDS Citations</span></td><td></td></tr> <tr> <td>11. <input checked="" type="checkbox"/> Preliminary Amendment</td><td></td></tr> <tr> <td>12. <input checked="" type="checkbox"/> Return Receipt Postcard</td><td></td></tr> <tr> <td>13. <input type="checkbox"/> Small Entity Statement(s)</td><td></td></tr> <tr> <td>14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365</td><td></td></tr> <tr> <td>14b. <input type="checkbox"/> Certified Copy of Priority Document(s)</td><td></td></tr> <tr> <td>15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i></td><td></td></tr> <tr> <td>16. <input type="checkbox"/> Other _____</td><td></td></tr> </table>	ACCOMPANYING APPLICATION PARTS		7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - The Mitre Corporation <span style="padding-left: 100px;">McLean, Virginia</span>		8. <input type="checkbox"/> Power of Attorney <span style="float: right;">[    ] 37 C.F.R. 3.73(b) Statement</span>		9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <span style="float: right;">[    ] Copies of IDS Citations</span>		11. <input checked="" type="checkbox"/> Preliminary Amendment		12. <input checked="" type="checkbox"/> Return Receipt Postcard		13. <input type="checkbox"/> Small Entity Statement(s)		14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365		14b. <input type="checkbox"/> Certified Copy of Priority Document(s)		15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>		16. <input type="checkbox"/> Other _____	
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17. **If a CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☐ Continuation    ☒ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.:    09/033,268

Prior application information:    Examiner: Vivek Srivastava    Group Art Unit: 2611

**The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.**  
*(Add standard Related Applications section with incorporation by reference to specification or update same)*

18. CORRESPONDENCE ADDRESS					
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Signature		Date	10/20/03
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number	2471.1001-002
Application Number	
First Named Inventor	Mark T. Maybury

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (i))	40 – 20* =	20	x \$ 18 =	\$ 360
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1 – 3** =	0	x \$ 86 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 290 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 770
				Total of above Calculations =	\$ 1130
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$ 565
				TOTAL =	\$ 565
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$
				[Other]	\$
				TOTAL =	\$ 565

\* Reissue claims in excess of 20 and over original patent  
 \*\* Reissue independent claims over original patent

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$[ 565 ]. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature

Date

10/20/03

Submitted by  
Typed or Printed Name

David J. Thibodeau, Jr.

Reg. Number

31,671